

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

MM41/0617

FOLEY & LARDMER / WACHINGTON HARBOUR, 2000 K STREET NWEWSUITE 200 P O BOX 20096 //** WASHINGTON DC 20007-2096

| APPLIC | CATION NO. | FILING DATE | TOTAL CLAIMS | EXAMINER AND GROUP ART UNIT | | DATE MAILED |
|--------------------------|------------|-------------|--------------|-----------------------------|------|-------------|
| | 08/886.4/0 | 07/01/9 | 7 018 | YOUNG, B | 2819 | 06/17/98 |
| First Named Applicant | TAKAMISAW | | TUY | CHIRO | | |
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TILE OF

ADAPTIVE TRANSFORM CODING SYSTEM, ADAPTIVE TRANSFORM DECODING SYSTEM AND ADAPTIVE TRANSFORM CODING/DECODING SYSTEM

| ATTY'S DOCKET NO. | CLASS-SUBCLASS | BATCH NO. | APPLN | . TYPE | SMALL | ENTITY | FEE DUE | DATE DUE |
|-------------------|----------------|-----------|-------|--------|-------|--------|----------|----------|
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HE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. ROSECUTION ON THE MERITS IS CLOSED.

HE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS PPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u>

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Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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RTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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| | 08/886.47 | /o | 07/01/97 | 018 | YÜUNG. | E: | 2815 | 06/17/98 |
| First Named Applicant | TAKAMIS | BAW | A. | YU | ICHIRO | | | |

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(REV. 10-96) Approved for use through 06/30/99. (0651-0033)

*U.S. GPO: 1998-437-639/80023



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Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

| A | PPLICATION NO. 4 FILING DATE / 1/97 | T AHABITATABILINVENTOR | YATTOF | RNEÝ ĐÔOKĒ ENO) 1 4 |
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| | P O BOX 25696 | | ART UND 819 | PAPER NUMBER |
| | WASHINGTON DC 20007-869 | 16 | | |
| | | | | 06/17/98 |

DATE MAILED:

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Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Notice of Allowability

Application No.

Applicant(s) 08/886,470

Takamisawa et al

Examiner

Brian Young

Group Art Unit 2819



| All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course. |
|--|
| ∑ This communication is responsive to the IDS filed 12/9/97 |
| X The allowed claim(s) is/are 1-18 |
| The drawings filed on are acceptable. |
| □ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). |
| X All Some* None of the CERTIFIED copies of the priority documents have been |
| 🔀 received. |
| received in Application No. (Series Code/Serial Number) |
| received in this national stage application from the International Bureau (PCT Rule 17.2(a)). |
| *Certified copies not received: |
| ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). |
| A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a). |
| □ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED. |
| Applicant MUST submit NEW FORMAL DRAWINGS |
| because the originally filed drawings were declared by applicant to be informal. |
| including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No |
| including changes required by the proposed drawing correction filed on, which has been approved by the examiner. |
| including changes required by the attached Examiner's Amendment/Comment. |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal lettter addressed to the Official Draftsperson. |
| ☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. |
| Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included. |
| Attachment(s) |
| Notice of References Cited, PTO-892 |
| Information Disclosure Statement(s), PTO-1449, Paper No(s)4 |
| Notice of Draftsperson's Patent Drawing Review, PTO-948 |
| □ Notice of Informal Patent Application, PTO-152 |
| Interview Summary, PTO-413 |
| Examiner's Amendment/Comment |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material |
| |

Serial Number: 08/886470 Page 2

Art Unit: 2104

1. Claims 1-18 are allowed.

2. The following is an examiner's statement of reasons for allowance: an adaptive transform

coding system including a transform means, an analysis means, a quantization

parameter determining means, a selector, a first coding means, a second coding means, a

parameter coding means, an adder and a multiplexer, connected and operating as claimed, has not

been shown in the prior art.

Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

3. The prior art made of record and not relied upon is considered pertinent to applicant's

disclosure. Hanyu et al disclose a typical prior art transform encoder.

4. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Brian Young whose telephone number is (703) 308-1621.

BRIAN K. YOUNG RIMARY EXAMINE

GROUP 2100

bky

June 12, 1998